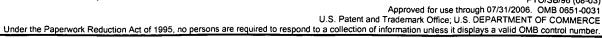
FIB 2 3 2004 B

2874

3 2004 Ly Grider the Paperwork Reduction Act of 1995.	no persons are requ	ired to respond to a colle	tent and Trademark Office:	PTO/SB/21 (08-03 e through 08/30/2003. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE it disolays a yalid OMB control number	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		ation Number Date amed Inventor it ner Name	09/902,883 07/11/2001 Meichun Ruan 2874 Song, Sarah U.	07/11/2001 Meichun Ruan 2874	
Total Number of Pages in This Submission 4		ey Docket Number	12218.1100 (027.0004)	
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition to Provision Power of Change of Terminal Request		After to Te Control of Apper (Apper (Apper Control of Apper Control of App	Allowance communication echnology Center (TC) cal Communication to Board opeals and Interferences cal Communication to TC cal Notice, Brief, Reply Brief) crietary Information as Letter r Enclosure(s) (please cify below): Under 37 CFR 3.73(b) tcard	
Firm Brett A. Carlson, Reg. No. 3		LICANT, ATTOR	NEY, OR AGENT		
Signature Date					
I hereby certify that this correspondence is bei sufficient postage as first class mail in an enve the date shown below.	ng facsimile trans		or deposited with the U		
Typed or printed name Brett A. Parlson Signature	1 Chr			Date 2/18/04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (08-03)



BADES	STATEMENT UNDER 37 CFR 3.73(b)		
Applicant/Patent Owner: Ruan et al.			
Application No./Patent No.: 09/902,883	Filed/Issued Date: July 11, 2001		
Entitled: OPTICAL MEMS SWITCHING	ARRAY WITH EMBEDDED BEAM-CONFINING CHANNELS AND METHOD OF		
OPERATING SAME			
Arizona State University	, a non-profit organization ,		
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)		
states that it is: 1. X the assignee of the entire right, title,	and interest; or		
2. an assignee of less than the entire right The extent (by, percentage) of its ow in the patent application/patent identified about	nership interest is %		
	•		
in the United States Patent and Trademark	of the patent application/patent identified above. The assignment was recorded Office at Reel <u>014290</u> Frame <u>0079</u> , or for which a copy thereof is attached.		
OR			
B. [] A chain of title from the inventor(s), shown below:	of the patent application/patent identified above, to the current assignee as		
1. From:	To:		
	ne United States Patent and Trademark Office at, or for which a copy thereof is attached.		
From: The document was recorded in the	To: ne United States Patent and Trademark Office at		
	, or for which a copy thereof is attached.		
3. From:	То:		
	ne United States Patent and Trademark Office at		
Reel, Frame _	, or for which a copy thereof is attached.		
[] Additional documents in the cha	in of title are listed on a supplemental sheet.		
[NOTE: A separate copy (i.e., the or	ments in the chain of title are attached. iginal assignment document or a true copy of the original document) vision in accordance with 37 CFR Part 3, if the assignment is to be O. See MPEP 302.08]		
The undersigned (whose title is supplied bel	ow) is authorized to act on behalf of the assignee.		
<u> </u>	Dr. Gregory Raupp		
Date	yped or printed partie () () () () () () () () () (
Telephone number	Signature Associate Vice President for Research		
	THE		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.